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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/799,854																																	
		Filing Date	March 12, 2004																																	
		First Named Inventor	DÖRR, ALEXANDER P.																																	
		Group Art Unit	1645																																	
		Examiner Name	To Be Assigned																																	
Total Number of Pages in This Submission		Attorney Docket Number	UCAL-296																																	
ENCLOSURES (check all that apply)																																				
<table border="0"><tr><td><input type="checkbox"/> Fee Transmittal Form</td><td><input type="checkbox"/> Assignment Papers (for an Application)</td><td><input type="checkbox"/> After Allowance Communication to Group</td></tr><tr><td><input type="checkbox"/> Fee Attached</td><td><input type="checkbox"/> Drawing(s)</td><td><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences</td></tr><tr><td><input type="checkbox"/> Amendment / Reply</td><td><input type="checkbox"/> Licensing-related Papers</td><td><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</td></tr><tr><td><input type="checkbox"/> After Final</td><td><input type="checkbox"/> Petition</td><td><input type="checkbox"/> Proprietary Information</td></tr><tr><td><input type="checkbox"/> Affidavits/declaration(s)</td><td><input type="checkbox"/> Petition to Convert to a Provisional Application</td><td><input type="checkbox"/> Status Letter</td></tr><tr><td><input type="checkbox"/> Extension of Time Request</td><td><input type="checkbox"/> Power of Attorney, Revocation</td><td><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):</td></tr><tr><td><input type="checkbox"/> Express Abandonment Request</td><td><input type="checkbox"/> Change of Correspondence Address</td><td>1) PTO/SB/08A (2 pgs.)</td></tr><tr><td><input checked="" type="checkbox"/> Information Disclosure Statement</td><td><input type="checkbox"/> Terminal Disclaimer</td><td>2) 13 Cited References</td></tr><tr><td><input type="checkbox"/> Certified Copy of Priority Documents</td><td><input type="checkbox"/> Request for Refund</td><td>3) Return Postcard</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts/Incomplete Application</td><td><input type="checkbox"/> CD, Number of CD(s)</td><td></td></tr><tr><td><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53</td><td>Remarks</td><td></td></tr></table>				<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	1) PTO/SB/08A (2 pgs.)	<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	2) 13 Cited References	<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	3) Return Postcard	<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> CD, Number of CD(s)		<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT																																				
Signing Attorney/Agent (Reg. No.)	PAULA A. BORDEN 42,344 BOZICEVIC, FIELD & FRANCIS LLP																																			
Signature																																				
Date	July 8, 2004																																			

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	UCAL-296
	First Named Inventor	DÖRR, ALEXANDER P.
	Application Number	10/799,854
	Confirmation No.	2176
	Filing Date	March 12, 2004
	Group Art Unit	1645
	Examiner Name	To Be Assigned
	Title:	"ACETYLATED TAT POLYPEPTIDES AND METHODS OF USE THEREOF"

Sir:

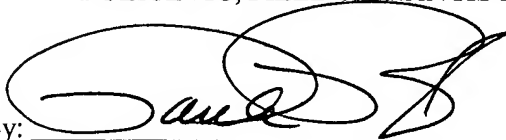
This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. UCAL-296 may be charged thereon.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: July 8, 2004

By: 
Paula A. Borden
Registration No. 42,344

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Substitute for form 1449A/PJO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/799,854
Filing Date	March 12, 2004
First Named Inventor	DÖRR, ALEXANDER P.
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	UCAL-296

U.S. PATENT DOCUMENTS[illegible]

FOREIGN PATENT DOCUMENTS

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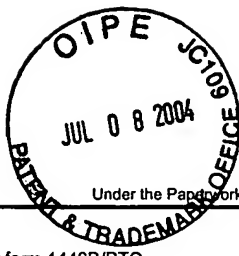
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Substitute for form 1449B/PTO				Complete if Known	
				Application Number	10/799,854
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	March 12, 2004
				First Named Inventor	DÖRR, ALEXANDER P.
				Group Art Unit	1645
				Examiner Name	To Be Assigned
				Attorney Docket Number	UCAL-296
Sheet	2	of	2		

OTHER ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Novitsky et al. (2002) <i>J. Virol.</i> 76:10155-10168	
		Rodman et al. (1993) <i>Proc. Natl. Acad. Sci. USA</i> 90:7719-7723	
		Cafaro et al. (1999) <i>Nat. Med.</i> 5:643-650	
		Re et al. (2001) <i>New Microbiol.</i> 24:197-205	
		Gruters et al. (2002) <i>Vaccine</i> 20:2011-2015	
		Allen et al. (2000) <i>Nature</i> 407:386-390	
		Addo et al. (2001) <i>Proc. Natl. Acad. Sci. USA</i> 98:1781-1786	
		Fanales-Belasio et al. (2002) <i>DNA Cell Biol.</i> 21:599-610	
		Wu and Marsh (2001) <i>Science</i> 293:1503-1506	
		Dorr et al. (2002) <i>EMBO J.</i> 21:2715-2723	
		Nakatani (2002) <i>Structure</i> 10:443-444	
		Mujtaba et al. (2002) <i>Mol. Cell</i> 9:575-586	

Examiner Signature		Date Considered	
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